UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

ZIILABS INC., LTD.,)
Plaintiff,)
V.	Case No. 2:14-cv-00203-JRG-RSP
SAMSUNG ELECTRONICS CO. LTD.; SAMSUNG ELECTRONICS AMERICA, INC.; SAMSUNG TELECOMMUNICATIONS, AMERICA, LLC; SAMSUNG AUSTIN SEMICONDUCTOR, LLC; and APPLE INC.))))
Defendants.))

LETTER OF REQUEST FOR THE TAKING OF EVIDENCE ABROAD FROM JEFFREY J. HOLT PURSUANT TO THE HAGUE CONVENTION

TO: The Supreme Court of Western Australia

Whereas, the civil proceeding captioned above is a patent infringement action now pending in the United States District Court for the District of Texas, in which ZiiLabs Inc., Ltd. ("ZiiLabs") claims that Defendants Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., Samsung Telecommunications America, LLC, and Apple Inc. (collectively the "Defendants") infringe U.S. Patent No. 5,831,637 ("the '637 patent") among others.

And whereas it has been represented to this Court that it is necessary for the purposes of justice and for the due determination of the issues in dispute between the parties in the above referenced proceedings that Jeffrey J. Holt of 1 Carramar Drive, Kalmunda, Western Australia 6076, 08 9450-6414 appear for examination.

The undersigned as a United States District Judge of the United States District Court for the Eastern District of Texas (located at 100 East Houston Street, Marshall, Texas 75670, USA

and with phone number (903) 935-3868), hereby issues this Letter of Request for judicial assistance to the Competent Authority of Australia in accordance with the Hague Convention of March 18, 1970, on the Taking of Evidence Abroad in Civil or Commercial Matters, ¹ as follows:

1. Sender The Honorable Roy Payne

United States District Court of the Eastern District of Texas

Sam B. Hall, Jr. Federal Building and United States

Courthouse

100 East Houston Street Marshall, Texas 75670

2. Central Authority of the Requested State

The Competent Judicial Authority of the Supreme Court of Western Australia

The Supreme Court of Western Australia

Stirling Gardens Barrack Street

Perth 6000, Western Australia

Australia

3. Person to whom the executed request is to be returned

Marcus E. Sernel, P.C. Kirkland & Ellis LLP 300 N. LaSalle Street Chicago, Illinois 60654

USA

Telephone: (312) 862-2000 Facsimile: (312) 862-2200

E-mail: marc.sernel@kirkland.com

4. Specification of the date by which the requesting authority requires receipt of the response to the Letter of Request

Included as Appendix C to this Letter of Request are a completed Request for Service, blank Certificate, and Summary of Documents to be Served.

Date and Reason for urgency

The Court notes that the claim construction discovery deadline in the existing civil action is January 21, 2015 and requests that the examination occur prior to that date.

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Date and Reason for urgency

As soon as practicable. The Court also notes that the fact discovery deadline is May 6, 2015, and requests that the requested documents and oral

examination be provided before that date.

IN CONFORMITY WITH ARTICLE 3 OF THE CONVENTION, THE UNDERSIGNED APPLICANT HAS THE HONOUR TO SUBMIT THE FOLLOWING REQUEST:

5. a Requesting judicial authority (Article 3, a))

United States District Court of the Eastern District of Texas Sam B. Hall, Jr. Federal Building and United States Courthouse

100 East Houston Street Marshall, Texas 75670

U.S.A.

Telephone: (903) 935-3868 Facsimile: (903) 935-2295

b To the competent authority of (Article 3, a))

The Competent Judicial Authority of the Supreme Court of

Western Australia

The Supreme Court of Western Australia

Stirling Gardens Barrack Street

Perth 6000, Western Australia

Australia

c Names of the case and any identifying number

ZiiLabs Inc., Ltd. v. Samsung Electronics Co. Ltd. et al., Case No. 2:14-cv-00203-JRG-RSP, United States District Court for the

Eastern District of Texas

- 6. Names and addresses of the parties and their representatives (including representatives in the requested State) (Article 3, b)
 - a Plaintiff ZiiLabs Inc., Ltd.

Representative Susman Godfrey L.L.P.

100 Louisiana Street, Suite 5100

Houston, TX 77002

b Defendant(s) Samsung Electronics Co., Ltd., Samsung Electronics America,

Inc., and Samsung Telecommunications America, LLC

Representative Brian Berliner

O'Melveny & Myers LLP 400 South Hope Street

18th Floor

Los Angeles, CA 90071

Defendant(s) Apple Inc.

Representative Marcus E. Sernel, P.C.

Kirkland & Ellis LLP 300 N. LaSalle Street Chicago, Illinois 60654

USA

c Other parties Not applicable

Representative Not applicable

7. a Nature of the proceedings (divorce, paternity, breach of contract, product

product liability, etc.) (Article 3, c))

This legal dispute is an existing civil action that commenced on March 10, 2014. On that date, ZiiLabs Inc., Ltd. ("ZiiLabs") filed a complaint in this Court, the United States District Court for the Eastern District of Texas, alleging infringement of a number of United States patents, including United States Patent No. 5,831,637 ("the '637 patent"), by Defendants.

In its Second Amended Complaint filed on June 4, 2014, ZiiLabs alleges that it is the assignee and owner of the '637 patent. ZiiLabs also alleges that Defendants infringe the '637 patent through the manufacture, use, sale, importation, and/or offer to sell various products, including phones, tablets, and computers. ZiiLabs further alleges that this infringement is willful.

Defendants respectfully request permission to take the oral examination of Jeffrey J. Holt. Mr. Holt is a named inventor of

the '637 patent. Defendants seek the oral testimony of Mr. Holt to obtain evidence that is anticipated to be used at trial.

To the extent allowed under Australian law and practice, the examination will be taken under oath, with direct questioning from Defendants' attorneys – as well as the possibility for cross examination by ZiiLabs' attorneys, and re-direct questioning by Defendants' attorneys, if necessary, as is common in the United States – concerning subject matter related to the '637 patent.

Accordingly, this Court respectfully requests that:

- (i) Mr. Holt be caused to provide oral testimony to be preserved for use at trial in this action on the topics set forth in the attached Appendix A; and
- (ii) Mr. Holt be caused to provide documents in his possession, custody, or control on the topics as set forth in Appendix B.
- 8. a Evidence to be obtained or other judicial act to be performed (Article 3, d))

The evidence requested herein consists of documents and testimony from Jeffrey J. Holt relating to the '637 patent. Defendants anticipate that this evidence will be used at trial.

b Purpose of the evidence or judicial act sought

The testimonial evidence sought from Mr. Holt will be covered by the Protective Order in this action, and it is anticipated that this evidence will be used at trial. Mr. Holt is a named inventor of the '637 patent, and his testimony will be used to demonstrate that the '637 patent was not infringed by Defendants, is invalid or unenforceable, and/or any alleged damages are limited or not appropriate.

9. Identity and address of any person to be examined (Article 3, e))

Jeffrey J. Holt 1 Carramar Drive Kalmunda, Western Australia 6076 08 9450-6414

10. Questions to be put to the persons to be examined or

Defendants seek testimonial evidence from Mr. Holt concerning the subject matter listed in Appendix A. statement of the subject-matter about which they are to be examined (Article 3, f))

11. Documents or other property to be inspected (Article 3, g))

Mr. Holt will also be asked to produce the documents listed in Appendix B.

12. Any requirement that the evidence be given on oath or affirmation and any special form to be used (Article 3, h))

It is requested that Mr. Holt, be placed under oath or affirmation. The oath or affirmation should state: "I swear or affirm that the testimony that I will give will be the truth, the whole truth, and nothing but the truth."

13. Special methods or procedure to be followed (e.g. oral or in writing, verbatim, transcript or summary, crossexamination, etc.)
(Articles 3, i) and 9)

The Court has been informed that Defendants request that the examination be conducted by Defendants' attorneys, with the possibility of cross-examinations being conducted by one of ZiiLab's attorneys, along with the possibility of re-direct and recross examinations, if necessary.

The Court also notes that Defendants request that a verbatim transcript of the examination be transcribed by a court reporter that will be provided by Defendants. Defendants also request that the testimony be videotaped.

In the event that the evidence cannot be taken in the requested manner, the Court requests that it be taken in such manner as provided by local law for the formal taking of evidence, including a transcription or recording in full of the questions asked and answers provided.

14. Request for notification of the time and place for the execution of the Request and identity and address of any person to be

Please notify:

Marcus E. Sernel, P.C. Kirkland & Ellis LLP 300 N. LaSalle Street Chicago, Illinois 60654 USA

Telephone: (312) 862-2000

notified (Article 7)

Facsimile: (312) 862-2200

E-mail: marc.sernel@kirkland.com

15. Request for attendance or participation of judicial personnel of the requesting authority at the execution of the Letter of Request (Article 8)

Defendants request that counsel for Plaintiff and Defendants, and/or local counsel based in Australia, be allowed to attend and participate in the examination.

- 16. Specification of privilege or duty to refuse to give evidence under the law of the State of origin (Article 11, b))
- 1. Under the laws of the United States, a witness has a privilege to refuse to give evidence if the evidence discloses a confidential communication between that witness and an attorney for that witness that was made for the purpose of obtaining legal advice.
- 2. United States law also recognizes a privilege against criminal self-incrimination.
- 3. Outside the strict area of privilege, certain limited immunities are available that may place restriction on the giving of evidence, such as the limited protection of documents created as the work product of attorneys during or in anticipation of litigation.
- 17. The fees and costs incurred which are reimbursable under the second paragraph of Article 14 or under Article 26 of the Convention will be borne by

Defendants

SIGNED this 15th day of December, 2014.

ROY S. PAYNE

UNITED STATES MAGISTRATE JUDGE

APPENDIX A

Defendants intend to question Mr. Holt regarding the subject-matter listed below. In this listing, "ZiiLabs" refers to the Plaintiff ZiiLabs as well as its corporate predecessors or related entities, including 3DLabs, Dupont Pixel Systems, Creative Labs, and Creative Technology.

- a. introductory questions relating to the witness's education and experience, how the witness prepared for the examination, and the witness's duties and responsibilities while employed at Integraph;
- b. the witness's role in, and knowledge of, the development of the technologies described in the '637 patent, such as initial conception, reduction to practice, design, development, manufacturing, programming, prototyping, and testing activities;
- c. the witness's understanding of the subject matter described in the '637 patent, including any teaching of the '637 patent that is alleged to be novel or non-obvious over existing technologies at the time the '637 patent was filed;
- d. the witness's knowledge of the prior art to the '637 patent;
- e. patent office proceedings involving the '637 patent, including its prosecution before the U.S. Patent & Trademark Office or any foreign patent office;
- f. the witness's ownership, interest, rights, or shares in the '637 Patent or related patents, the outcome of any litigation concerning the '637 Patent, and any entity that holds or has held any ownership, interest, rights, or shares in the '637 Patent or ZiiLabs;
- g. contracts, agreements, licenses, or assignments involving the witness, on the one hand, and the other named inventors of the '637 patent, Intergraph Corporation, ZiiLabs, Bromberg & Sunstein LLP or any other firm involved in the prosecution of the '637 patent, and/or Susman Godfrey LLP, on the other hand;
- h. payments or monetary transfers concerning or relating to the '637 patent, the alleged invention of the '637 patent, or litigation or reexamination or other patent office proceeding relating to the '637 Patent;
- i. assertions of infringement, non-infringement, validity, invalidity, enforceability, or unenforceability concerning any the '637 patent claims;
- j. licenses or attempts to license the '637 Patent; contracts, agreements, licenses, or assignments concerning the '637 Patent or any of the alleged inventions of the '637 Patent;
- k. valuation of the '637 Patent or the alleged inventions of the '637 patent.
- 1. the subject matter, authenticity, and dates of documents produced pursuant to paragraph 11 and Appendix B of this Letter of Request.

APPENDIX B

Defendants request the documents listed below. In this listing, "ZiiLabs" refers to the Plaintiff ZiiLabs as well as its corporate predecessors or related entities, including 3DLabs, Dupont Pixel Systems, Creative Labs, and Creative Technology.

- a. the witness's notes, drawings, laboratory notebooks, or other documentation related to the initial conception, reduction to practice, design, development, and testing of the subject matter of the '637 patent;
- b. documents identifying dates of first public release or offer for sale in the U.S. of the technology claimed in the '637 patent;
- c. communications concerning the '637 patent, the alleged inventions of the '637 patent, or litigation or prosecution proceedings relating to the '637 patent;
- d. business plans and proposals for the technology of the '637 Patent, including documents describing efforts to license, buy, sell, assign, or transfer any right or interest in the '637 patent;
- e. documents describing Intergraph's or ZiiLabs' invention and licensing policies;
- f. documents describing the witness's current or past ownership, interest, rights, or shares in the Asserted Patent(s) and the outcome of any litigation concerning the Asserted Patent(s);
- g. any sworn statements that the witness has submitted as part of a litigation or patent office proceeding concerning the subject matter of the '637 patent.

APPENDIX C

REQUEST FOR SERVICE ABROAD OF JUDICIAL OR EXTRAJUDICIAL DOCUMENTS

DEMANDE AUX FINS DE SIGNIFICATION OU DE NOTIFICATION A L'ÉTRANGER D'UN ACTE JUDICIAIRE OU EXTRAJUDICIAIRE

Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters, signed at The Hague, the 15th of November 1965.

Identity and address of the applicant

Convention relative à la signification et à la notification à l'étranger des actes judiciaires ou extrajudiciaires en matière civile ou commerciale, signée à La Haye le 15 novembre 1965.

Address of receiving authority

	é et adresse du requérant	Adresse de l'autorité destinataire
	onorable Roy Payne	The Competent Judicial Authority of the Supreme Court
	States District Court of the Eastern District of	of Western Australia
Texas		The Supreme Court of Western Australia
	B. Hall, Jr. Federal Building and United States	Stirling Gardens
Courth		Barrack Street
	ast Houston Street	Perth 6000, Western Australia
<u>iviarsn</u>	all, Texas 75670	<u>Australia</u>
below servic Le requidocume	r and, in conformity with Article 5 of the se of one copy thereof on the addressee, i. suérant soussigné a l'honneur de faire parvenir	- en double exemplaire – à l'autorité destinataire les nément à l'article 5 de la Convention précitée, d'en faire
(identi Jeffre 1 Carr Kalmur	tity and address) ité et adresse) ey J. Holt ramar Drive nda, Western Australia 6076 50-6414	
	 a) in accordance with the provisions of Article 5 of the Convention* selon les formes légales (article 5, alinéa propriés) 	f sub-paragraph a) of the first paragraph of
		rticular method (sub-paragraph b) of the first
	paragraph of Article 5)*:	
	selon la forme particulière suivante (article	5, alinéa premier, lettre b)*:
	Солот от того размения солот (от того	-,,,,
	Article 5)*	ccepts it voluntarily (second paragraph of
	le cas échéant, par remise simple (article 5	, alinéa 2)*
and of Cette a avec l'a	f the annexes* - with the attached certifica	eturned to the applicant a copy of the documents - te. au requérant un exemplaire de l'acte - et de ses annexes*

Signature and/or stamp Signature et / ou cachet

* if appropriate / s'il y a lieu

Done at / Fait à ______,

The / le ____

CERTIFICATE ATTESTATION

L'autorité soussignée a l'honneur d'attester conformément	ry, in conformity with Article 6 of the Convention, a à l'article 6 de ladite Convention,
1. that the document has been served* que la demande a été exécutée*	
- the (date) / le (date):	
at (place, street, number):à (localité, rue, numéro) :	
in one of the following methods authorised dans une des formes suivantes prévues à l'article 5	
	sub-paragraph a) of the first paragraph of
b) in accordance with the following par selon la forme particulière suivante*:	ticular method*:
by delivery to the addressee, if he ac par remise simple*	cepts it voluntarily*
The documents referred to in the request have b Les documents mentionnés dans la demande ont été remi	
Identity and description of person: Identité et qualité de la personne :	
Relationship to the addressee (family, business or other): Liens de parenté, de subordination ou autres, avec le destinataire de l'acte :	
2. that the document has not been served, b que la demande n'a pas été exécutée, en raison de	-
requested to pay or reimburse the expenses	Article 12 of the Convention, the applicant is a detailed in the attached statement*. ention, le requérant est prié de payer ou de rembourser les frances de la contraction de
Pièces renvoyées :	
In appropriate cases, documents establishing the service: Le cas échéant, les documents justificatifs de l'exécution: * if appropriate / s'il y a lieu	
Done at / Fait à, The / le	Signature and/or stamp Signature et / ou cachet
	<u></u>

WARNING AVERTISSEMENT

Identity and address of the addressee

Identité et adresse du destinataire

Jeffrey J. Holt

Carramar Drive

Kalmunda, Western Australia 6076
08 9450-6414

IMPORTANT

THE ENCLOSED DOCUMENT IS OF A LEGAL NATURE AND MAY AFFECT YOUR RIGHTS AND OBLIGATIONS. THE 'SUMMARY OF THE DOCUMENT TO BE SERVED' WILL GIVE YOU SOME INFORMATION ABOUT ITS NATURE AND PURPOSE. YOU SHOULD HOWEVER READ THE DOCUMENT ITSELF CAREFULLY. IT MAY BE NECESSARY TO SEEK LEGAL ADVICE.

IF YOUR FINANCIAL RESOURCES ARE INSUFFICIENT YOU SHOULD SEEK INFORMATION ON THE POSSIBILITY OF OBTAINING LEGAL AID OR ADVICE EITHER IN THE COUNTRY WHERE YOU LIVE OR IN THE COUNTRY WHERE THE DOCUMENT WAS ISSUED.

ENQUIRIES ABOUT THE AVAILABILITY OF LEGAL AID OR ADVICE IN THE COUNTRY WHERE THE DOCUMENT WAS ISSUED MAY BE DIRECTED TO:

TRÈS IMPORTANT

LE DOCUMENT CI-JOINT EST DE NATURE JURIDIQUE ET PEUT AFFECTER VOS DROITS ET OBLIGATIONS. LES « ÉLÉMENTS ESSENTIELS DE L'ACTE » VOUS DONNENT QUELQUES INFORMATIONS SUR SA NATURE ET SON OBJET. IL EST TOUTEFOIS INDISPENSABLE DE LIRE ATTENTIVEMENT LE TEXTE MÊME DU DOCUMENT. IL PEUT ÊTRE NÉCESSAIRE DE DEMANDER UN AVIS JURIDIQUE.

SI VOS RESSOURCES SONT INSUFFISANTES, RENSEIGNEZ-VOUS SUR LA POSSIBILITÉ D'OBTENIR L'ASSISTANCE JUDICIAIRE ET LA CONSULTATION JURIDIQUE, SOIT DANS VOTRE PAYS, SOIT DANS LE PAYS D'ORIGINE DU DOCUMENT.

LES DEMANDES DE RENSEIGNEMENTS SUR LES POSSIBILITÉS D'OBTENIR L'ASSISTANCE JUDICIAIRE OU LA CONSULTATION JURIDIQUE DANS LE PAYS D'ORIGINE DU DOCUMENT PEUVENT ÊTRE ADRESSÉES À :

It is recommended that the standard terms in the notice be written in English and French and where appropriate also in the official language, or in one of the official languages of the State in which the document originated. The blanks could be completed either in the language of the State to which the document is to be sent, or in English or French.

Il est recommandé que les mentions imprimées dans cette note soient rédigées en langue française et en langue anglaise et le cas échéant, en outre, dans la langue ou l'une des langues officielles de l'État d'origine de l'acte. Les blancs pourraient être remplis, soit dans la langue de l'État où le document doit être adressé, soit en langue française, soit en langue anglaise.

SUMMARY OF THE DOCUMENT TO BE SERVED

ÉLÉMENTS ESSENTIELS DE L'ACTE

Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters, signed at The Hague, the 15th of November 1965 (Article 5, fourth paragraph).

Convention relative à la signification et à la notification à l'étranger des actes judiciaires ou extrajudiciaires en matière civile ou commerciale, signée à La Haye le 15 novembre 1965 (article 5, alinéa 4).

Name and address of the requesting authority:

Nom et adresse de l'autorité requérante :

The Honorable Roy Payne

United States District Court of the Eastern

District of Texas

Sam B. Hall, Jr. Federal Building and United

States Courthouse 100 East Houston Street Marshall, Texas 75670

Particulars of the parties*:

Identité des parties* :

ZiiLabs Inc., Ltd.

Susman Godfrey L.L.P.

100 Louisiana Street, Suite 5100

Houston, TX 77002

Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., and Samsung Telecommunications America, LLC

Brian Berliner

O' Melveny & Myers LLP 400 South Hope Street

18th Floor

Los Angeles, CA 90071

Apple Inc.

Marcus E. Sernel, P.C. Kirkland & Ellis LLP 300 N. LaSalle Street Chicago, Illinois 60654

☐ JUDICIAL DOCUMENT**

ACTE JUDICIAIRE**

If appropriate, identity and address of the person interested in the transmission of the document S'il y a lieu, identité et adresse de la personne intéressée à la transmission de l'acte

Nature and purpose of the document: Nature et objet de l'acte :	Letter of Request and Appendices identifying the documents to be produced and the testimony sought.
Nature and purpose of the proceedings and, when appropriate, the amount in dispute: Nature et objet de l'instance, le cas échéant, le montant du litige :	This legal dispute is an existing civil action that commenced on March 10, 2014. On that date, ZiiLabs Inc., Ltd. ("ZiiLabs") filed a complaint in this Court, the United States District Court for the Eastern District of Texas, alleging infringement of a number of United States patents, including United States Patent No. 5, 831, 637 ("the '637 patent"), by Defendants. Defendants respectfully request permission to take the oral examination of Jeffrey J. Holt. Defendants understand that a subpoena will be required to obtain this testimony. Defendants seek the oral testimony of Mr. Holt to obtain evidence that is anticipated to be used at trial. Mr. Holt is a named inventor of the '637 Patent. To the extent allowed under Australian law and practice, the examination will be taken under oath, with direct questioning from Defendants' attorneys - as well as the possibility for cross examination by ZiiLabs' attorneys, and re-direct questioning by Defendants' attorneys, if necessary, as is common in the United States - concerning subject matter related to the '637 Patent.
Date and Place for entering appearance**: Date et lieu de la comparution**:	
Court which has given judgment**: Juridiction qui a rendu la décision**:	NA NA
Date of judgment**: Date de la décision**:	NA
Time limits stated in the document**: Indication des délais figurant dans l'acte**:	Examination to occur prior to January 21, 2015.
** if appropriate / s'il y a lieu	
EXTRAJUDICIAL DOCUMENT** ACTE EXTRAJUDICIAIRE**	
Nature and purpose of the document: Nature et objet de l'acte :	
Time-limits stated in the document**: Indication des délais figurant dans l'acte**:	
** if appropriate / s'il y a lieu	